

## Target Ranges of Oxygen Saturation in Extremely Preterm Infants

SUPPORT Study Group of the Eunice Kennedy Shriver NICHD Neonatal Research Network\*

### ABSTRACT

#### BACKGROUND

Previous studies have suggested that the incidence of retinopathy is lower in preterm infants with exposure to reduced levels of oxygenation than in those exposed to higher levels of oxygenation. However, it is unclear what range of oxygen saturation is appropriate to minimize retinopathy without increasing adverse outcomes.

#### METHODS

We performed a randomized trial with a 2-by-2 factorial design to compare target ranges of oxygen saturation of 85 to 89% or 91 to 95% among 1316 infants who were born between 24 weeks 0 days and 27 weeks 6 days of gestation. The primary outcome was a composite of severe retinopathy of prematurity (defined as the presence of threshold retinopathy, the need for surgical ophthalmologic intervention, or the use of bevacizumab), death before discharge from the hospital, or both. All infants were also randomly assigned to continuous positive airway pressure or intubation and surfactant.

#### RESULTS

The rates of severe retinopathy or death did not differ significantly between the lower-oxygen-saturation group and the higher-oxygen-saturation group (28.3% and 32.1%, respectively; relative risk with lower oxygen saturation, 0.90; 95% confidence interval [CI], 0.76 to 1.06;  $P=0.21$ ). Death before discharge occurred more frequently in the lower-oxygen-saturation group (in 19.9% of infants vs. 16.2%; relative risk, 1.27; 95% CI, 1.01 to 1.60;  $P=0.04$ ), whereas severe retinopathy among survivors occurred less often in this group (8.6% vs. 17.9%; relative risk, 0.52; 95% CI, 0.37 to 0.73;  $P<0.001$ ). There were no significant differences in the rates of other adverse events.

#### CONCLUSIONS

A lower target range of oxygenation (85 to 89%), as compared with a higher range (91 to 95%), did not significantly decrease the composite outcome of severe retinopathy or death, but it resulted in an increase in mortality and a substantial decrease in severe retinopathy among survivors. The increase in mortality is a major concern, since a lower target range of oxygen saturation is increasingly being advocated to prevent retinopathy of prematurity. (ClinicalTrials.gov number, NCT00233324.)

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RETINOPATHY OF PREMATURENESS IS AN important cause of blindness and other visual disabilities in preterm infants. The incidence of retinopathy of prematurity was increased with exposure to unrestricted oxygen supplementation in preterm infants in randomized, controlled trials performed in the 1950s.<sup>1</sup> In the 1960s, this increase resulted in the practice of restricting the fraction of inspired oxygen (FIO<sub>2</sub>) to no more than 0.50, which was estimated to result in an excess of 16 deaths per case of blindness prevented.<sup>2</sup> More recent data suggest that levels of oxygen saturation previously thought to be at the upper end of the normal range may increase the risk of retinopathy of prematurity as compared with levels at the lower end of the normal range.<sup>3-5</sup> Oxygen toxicity may also increase the risk of death,<sup>6,7</sup> bronchopulmonary dysplasia,<sup>8-10</sup> periventricular leukomalacia,<sup>11</sup> cerebral palsy,<sup>12</sup> and other conditions. Although a multicenter observational study did not show a significant association between higher values for the partial pressure of arterial oxygen and retinopathy, a single-center cohort study involving transcutaneous oxygen monitoring provided support for an association between an increased risk of retinopathy<sup>13</sup> and exposure to arterial oxygen levels of 80 mm Hg or more.<sup>14</sup>

Pulse oximetry allows clinicians to continuously monitor levels of oxygen saturation and to target levels in a defined range. Associations between lower target levels of oxygen saturation and a lower incidence of retinopathy have been reported.<sup>1-5</sup> In a survey of 144 neonatal intensive care units (NICUs), the rate of retinal ablation surgery among very-low-birth-weight infants was increased among infants cared for in NICUs that used higher maximum target levels of oxygen saturation, as compared with infants in NICUs that used lower target levels. The rate of retinal ablation surgery was 3.3% in NICUs using target levels of 92% or higher and 1.4% in NICUs using target levels of less than 92%; the rate was 5.6% in NICUs using target levels of 98% or higher and 3.1% in NICUs using target levels of less than 98%.<sup>3</sup> In a retrospective study comparing outcomes at five NICUs, the incidence of severe retinopathy requiring ablation therapy was 27% in NICUs where the target saturation level was 88 to 98% and only 6% in NICUs where the target level was 70 to 90%.<sup>3</sup> Rates of death and cerebral

palsy did not differ significantly among these NICUs. In three studies with a before-and-after design, the implementation of a policy of target levels of oxygen saturation of approximately 83 to 95% was associated with a substantial reduction in the incidence of retinopathy, as compared with the period before implementation of the policy; however, the actual levels of oxygen saturation achieved, mortality, and neurodevelopmental outcomes were not reported.<sup>4,15,16</sup> Although data from these studies suggest that maintenance of oxygenation at ranges lower than those previously used may decrease the incidence of retinopathy of prematurity, the safety of low target levels of oxygen saturation remains a concern.

We conducted the Surfactant, Positive Pressure, and Oxygenation Randomized Trial (SUPPORT), a controlled, multicenter trial with a 2-by-2 factorial design, to compare two target levels of oxygen saturation and two ventilation approaches (continuous positive airway pressure [CPAP] initiated in the delivery room with a protocol-driven strategy of limited ventilation vs. intratracheal administration of surfactant with a protocol-driven strategy of conventional ventilation). The oxygenation component of the trial tested the hypothesis that a lower target range of oxygen saturation (85 to 89%), as compared with a higher target range (91 to 95%), would reduce the incidence of the composite outcome of severe retinopathy of prematurity or death among infants who were born between 24 weeks 0 days of gestation and 27 weeks 6 days of gestation. The ventilation part of this factorial-design trial, which was used to control the ventilation approach and test other hypotheses, is reported elsewhere in this issue of the *Journal*.<sup>17</sup>

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## METHODS

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### STUDY DESIGN

The study was conducted as part of the Neonatal Research Network of the Eunice Kennedy Shriver National Institute of Child Health and Human Development. The study was approved by the institutional review board at each participating site and by RTI International, which is the independent data coordinating center for the Neonatal Research Network. Data collected at the study sites were transmitted to RTI International, which stored, managed, and analyzed the data for this

study. Written informed consent was obtained from the parent or guardian of each child before delivery.

#### PATIENTS

Infants who were born between 24 weeks 0 days of gestation and 27 weeks 6 days of gestation for whom a decision had been made to provide full resuscitation were eligible for enrollment at birth. Infants born in other hospitals and those known to have major congenital anomalies were excluded.

#### ENROLLMENT AND TREATMENT

Infants were enrolled from February 2005 through February 2009. Permuted-block randomization was used, with stratification according to study center and gestational age (24 weeks 0 days to 25 weeks 6 days or 26 weeks 0 days to 27 weeks 6 days). Using sealed, opaque envelopes, we randomly assigned infants before birth to a target range of oxygen saturation of 85 to 89% (the lower-oxygen-saturation group) or 91 to 95% (the higher-oxygen-saturation group). Infants who were part of multiple births were randomly assigned to the same group.

Blinding was maintained with the use of electronically altered pulse oximeters (Masimo Radical Pulse Oximeter) that showed saturation levels of 88 to 92% for both targets of oxygen saturation, with a maximum variation of 3%. For example, a reading of 90% corresponded to actual levels of oxygen saturation of 87% in the group assigned to lower oxygen saturation (85 to 89%) and 93% in the group assigned to higher oxygen saturation (91 to 95%). A previous trial used a fixed 3% absolute oxygen-saturation variation throughout the entire range of saturation levels to keep caregivers unaware of study-group assignments and to separate levels of oxygen saturation in preterm infants,<sup>18</sup> but the algorithm used in the current trial differed, since the oxygen-saturation reading gradually changed and reverted to actual (non-skewed) values when it was less than 84% or higher than 96% in both treatment groups. Limits of 85% and 95% that would trigger an alarm in the delivery system were suggested, but they could be changed for individual patients.

Targeting of levels of oxygen saturation with altered pulse oximetry was initiated within the first 2 hours after birth and was continued until 36 weeks of postmenstrual age or until the in-

fant was breathing ambient air and did not require ventilator support or CPAP for more than 72 hours, whichever occurred first. Infants who were weaned to room air but who subsequently received oxygen supplementation before 36 weeks of postmenstrual age were placed back on the assigned study pulse oximeter. The target ranges were kept unchanged from birth until 36 weeks of postmenstrual age. Adjustments in supplemental oxygen to maintain the target level of oxygen saturation between 88 and 92% were performed by the clinical staff rather than the research staff.

Data on oxygen saturation were electronically sampled every 10 seconds and downloaded by the data center. Readings of levels of oxygen saturation that were pooled (i.e., not separated according to treatment group) were provided quarterly to each center for feedback on compliance. Actual data on oxygen saturation were not provided to the clinicians or researchers but are used exclusively in this article. For the ventilation part of this trial with a 2-by-2 factorial design, participants were randomly assigned to CPAP with a protocol-driven limited ventilation strategy or to prophylactic early administration of surfactant with a protocol-driven conventional ventilation strategy.<sup>17</sup>

#### ASSESSMENTS

Research nurses recorded all data using standardized definitions included in the trial's manual of operations. Data collection, excluding examinations to detect retinopathy of prematurity, was completed at discharge. All surviving infants were followed by ophthalmologists trained in the diagnosis of retinopathy of prematurity. Examinations began by 33 weeks of postmenstrual age and continued until the study outcome was reached or resolution occurred. Resolution was defined as fully vascularized retinas or immature vessels in zone 3 for two consecutive examinations in each eye. Threshold retinopathy of prematurity (called "new type 1 threshold" by the Early Treatment of Retinopathy Cooperative Group<sup>19,20</sup>) was diagnosed if any of the following findings were present: in zone 1, stage 3 retinopathy of prematurity, even without plus disease (i.e., two or more quadrants of dilated veins and tortuous arteries in the posterior pole), or plus disease with any stage of retinopathy of prematurity; in zone 2, plus disease with stage 2 retinopathy of prematurity or plus disease with stage 3 retinopathy of

prematurity. Surgical ophthalmologic intervention was recorded if any of the following occurred: laser therapy, cryotherapy, both laser therapy and cryotherapy, scleral buckling, or vitrectomy. The primary outcome was death before discharge or severe retinopathy as defined by threshold retinopathy, ophthalmologic surgery, or the use of bevacizumab treatment for retinopathy. The original study protocol specified a primary outcome of death before 36 weeks of postmenstrual age, but this was changed to death before discharge before any data analyses were performed. All other outcomes reported were prespecified, including assessment of the need for oxygen at 36 weeks of postmenstrual age<sup>21</sup> and safety outcomes.

#### STATISTICAL ANALYSIS

The analysis for the oxygen-saturation part of this factorial trial compared the percentage of infants in each treatment group in whom the primary outcome of severe retinopathy or death occurred. Analysis of this and all other categorical outcomes was performed with the use of robust Poisson regression in a generalized-estimating-equation model to obtain adjusted relative risks with 95% confidence intervals. Continuous outcomes were analyzed with the use of mixed-effects linear models to obtain adjusted means and standard errors. We performed a post hoc survival analysis with the use of a Cox proportional-hazards model to compare mortality in the two oxygen-saturation groups, assuming that there were no subsequent deaths among the infants who were discharged. In the analysis of all outcomes, the results were adjusted, as prespecified, for stratification according to study center and gestational age, as well as for familial clustering due to random assignment of infants who were part of multiple births to the same treatment group. To compare the actual oxygen-saturation values in the two treatment groups, the median value during oxygen supplementation was determined for each infant. Those values were plotted according to treatment group, and the medians of the resulting distributions were compared with the use of a rank-sum test.

An absolute between-group difference of 10 percentage points in the rate of the composite primary outcome was considered clinically important. The sample-size calculations were based on the rate of death or threshold retinopathy of 47% in the Neonatal Research Network for the year

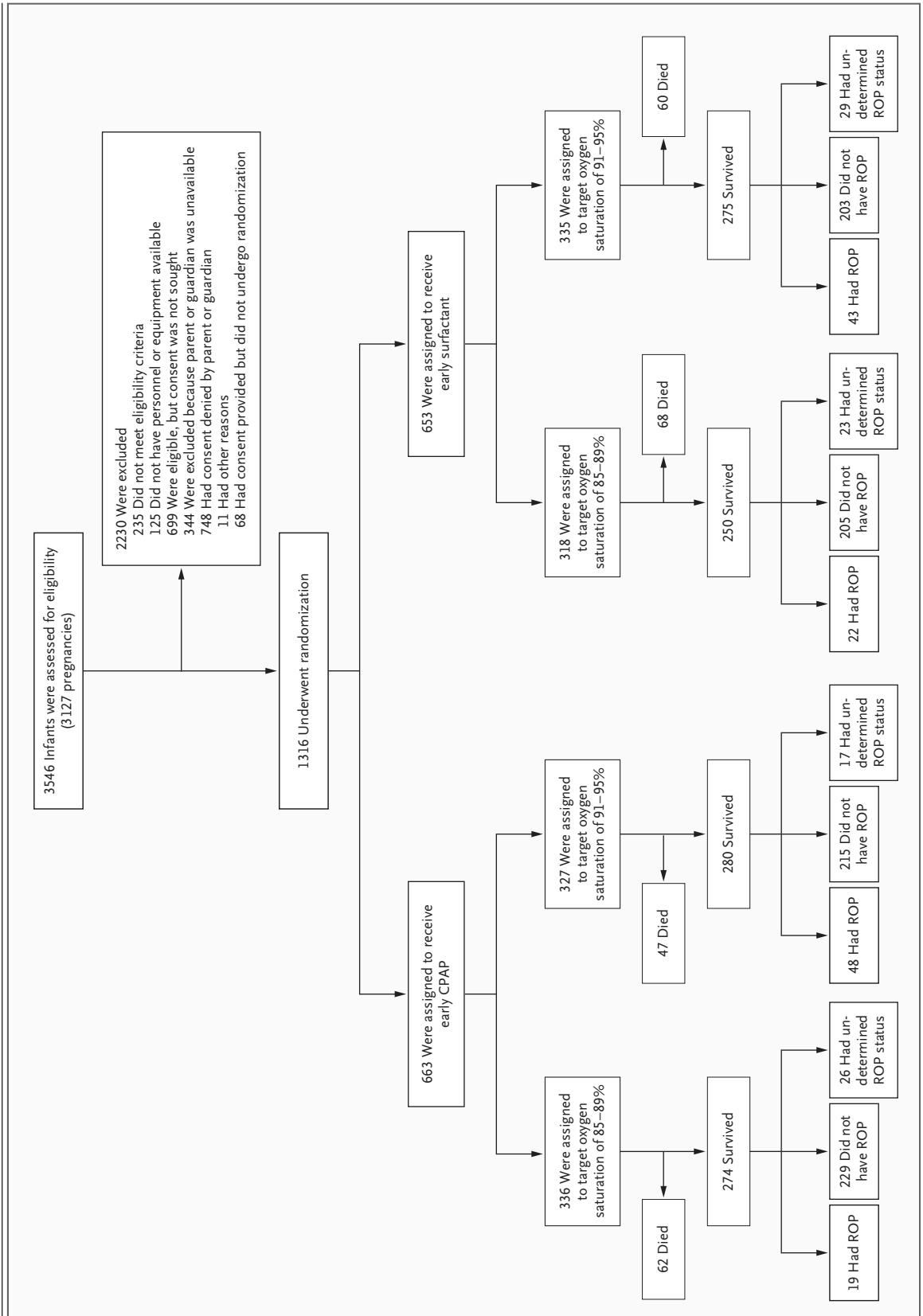
#### Figure 1 (facing page). Screening, Randomization, and Primary Outcome.

The numbers shown exclude infants of women who were screened during pregnancy but whose babies were not subsequently born at a study center between 24 weeks 0 days and 27 weeks 6 days of gestation. The outcome of severe retinopathy of prematurity (ROP) could not be determined in some infants because of loss to follow-up. CPAP denotes continuous positive airway pressure.

2000. We increased the sample size by a factor of 1.12 to allow for infants who were part of multiple births to be randomly assigned to the same treatment (since this introduced a clustering effect into the design), and we increased the sample size by an additional 17% to adjust for attrition after hospital discharge. We increased the sample size further to minimize type I error with the use of a conservative 2% level of significance. The result was a target sample of 1310 infants. The study was not powered to detect an interaction effect between the two factorial parts of the study.

Analyses were performed according to the intention-to-treat principle. The denominator that was used to calculate the rate of each outcome was the number of infants for whom that outcome was known. All analyses were conducted at the data center. Two-sided P values of less than 0.05 were considered to indicate statistical significance. Analyses of secondary outcomes did not include adjustment for multiple comparisons; however, for the 46 planned analyses of secondary outcomes according to treatment group, we would expect no more than three tests to have P values of less than 0.05 on the basis of chance alone. Subgroup analyses were conducted within prespecified gestational-age strata for predefined outcomes. Although these tests were not adjusted for multiple comparisons, we would expect no more than two tests per stratum to have P values of less than 0.05 on the basis of chance alone.

An independent data and safety monitoring committee appointed by the director of the National Institute of Child Health and Human Development reviewed the primary outcomes, adverse events, and other interim results at approximately 25%, 50%, and 75% of planned enrollment. In addition, the data and safety monitoring committee, at the request of the investigators, evaluated the data on oxygen saturation to evaluate compliance with the protocol. The Lan-DeMets spend-



**Table 1. Baseline Characteristics of the Patients.**

Characteristic	Lower Oxygen Saturation (N=654)	Higher Oxygen Saturation (N=662)
Birth weight — g	836±193	825±193
Gestational age — wk	26±1	26±1
Male sex — no./total no. (%)	341/654 (52.1)	371/662 (56.0)
Race or ethnic group — no./total no. (%)†		
Non-Hispanic white	242/654 (37.0)	279/662 (42.1)
Non-Hispanic black	257/654 (39.3)	232/662 (35.0)
Hispanic	132/654 (20.2)	127/662 (19.2)
Other or unknown	23/654 (3.5)	24/662 (3.6)
Maternal use of antenatal corticosteroids — no./total no. (%)		
Any	633/654 (96.8)	632/661 (95.6)
Full course	477/651 (73.3)	462/658 (70.2)
Apgar score <3 at 5 min — no./total no. (%)	34/654 (5.2)	24/662 (3.6)
Surfactant treatment — no./total no. (%)	531/653 (81.3)	558/660 (84.5)
Multiple birth — no./total no. (%)	161/654 (24.6)	176/662 (26.6)

\* Plus-minus values are means ±SD. P>0.05 for all comparisons.

† Race or ethnic group was reported by the mother or guardian of each child.

ing functions with Pocock and O'Brien–Fleming boundaries were used to develop stopping rules for interim safety and efficacy monitoring, respectively. In the final analysis, the nominal level of significance was 0.05. The monitored safety outcomes included death, pneumothorax, intraventricular hemorrhage, and a combination of any of these events.

## RESULTS

### CHARACTERISTICS OF THE STUDY SAMPLE

We enrolled 1316 infants in the study (Fig. 1). When 247 infants had been enrolled, enrollment was temporarily suspended on the basis of the recommendation of the data and safety monitoring committee and the decision of the director of the National Institute of Child Health and Human Development because of concern that readings of levels of oxygen saturation often exceeded the target levels. Separation of the oximetry data according to whether patients were breathing ambient air or receiving oxygen supplementation addressed this concern, because infants who did not require supplemental oxygen accounted for a large proportion of the high saturation levels. Resump-

tion of enrollment was approved. The baseline characteristics of the two treatment groups were similar (Table 1).

### PRIMARY OUTCOME

The rate of the composite primary outcome, severe retinopathy or death before discharge, did not differ significantly between the lower-oxygen-saturation group and the higher-oxygen-saturation group (28.3 and 32.1%, respectively; relative risk with lower oxygen saturation, 0.90; 95% confidence interval [CI], 0.76 to 1.06; P=0.21) (Table 2). Although the trial was not powered to detect an interaction between the level of oxygen saturation and the ventilation intervention, we prospectively planned to evaluate this interaction, and no significant interaction was found (P=0.57). Death before discharge occurred in 130 of 654 infants in the lower-oxygen-saturation group (19.9%) as compared with 107 of 662 infants in the higher-oxygen-saturation group (16.2%) (relative risk with lower oxygen saturation, 1.27; 95% CI, 1.01 to 1.60; P=0.04; number needed to harm, 27). The distribution of the major causes of death did not differ significantly between the two groups (see Table 1 in the Supplementary Appendix, available with the

**Table 2. Major Outcomes.\***

Outcome	Lower Oxygen Saturation (N = 654)	Higher Oxygen Saturation (N = 662)	Adjusted Relative Risk (95% CI)
	no./total no. (%)		
Severe retinopathy of prematurity or death before discharge	171/605 (28.3)	198/616 (32.1)	0.90 (0.76–1.06)
Severe retinopathy of prematurity	41/475 (8.6)	91/509 (17.9)	0.52 (0.37–0.73)
Death			
Before discharge	130/654 (19.9)	107/662 (16.2)	1.27 (1.01–1.60)
By 36 wk postmenstrual age	114/654 (17.4)	94/662 (14.2)	1.27 (0.99–1.63)
BPD, defined by use of supplemental oxygen, at 36 wk	203/540 (37.6)	265/568 (46.7)	0.82 (0.72–0.93)
BPD, defined by use of supplemental oxygen, or death by 36 wk	317/654 (48.5)	359/662 (54.2)	0.91 (0.83–1.01)
BPD, physiological definition, at 36 wk†	205/540 (38.0)	237/568 (41.7)	0.92 (0.81–1.05)
BPD, physiological definition, or death by 36 wk†	319/654 (48.8)	331/662 (50.0)	0.99 (0.90–1.10)
Intraventricular hemorrhage, grade 3 or 4‡	83/630 (13.2)	81/640 (12.7)	1.06 (0.80–1.40)
Intraventricular hemorrhage, grade 3 or 4, or death‡	179/653 (27.4)	156/661 (23.6)	1.18 (0.99–1.42)
Periventricular leukomalacia	24/631 (3.8)	30/641 (4.7)	0.83 (0.49–1.42)
Periventricular leukomalacia or death	149/654 (22.8)	132/662 (19.9)	1.18 (0.96–1.45)
Necrotizing enterocolitis, stage ≥2§	76/641 (11.9)	70/649 (10.8)	1.11 (0.82–1.51)
Necrotizing enterocolitis, stage ≥2, or death§	176/654 (26.9)	155/662 (23.4)	1.18 (0.98–1.43)
Pneumothorax	47/654 (7.2)	43/662 (6.5)	1.12 (0.74–1.68)
Postnatal corticosteroids for BPD	61/636 (9.6)	69/644 (10.7)	0.91 (0.67–1.24)
Death			
By 7 days	41/654 (6.3)	38/662 (5.7)	1.11 (0.72–1.72)
By 14 days	64/654 (9.8)	56/662 (8.5)	1.20 (0.84–1.70)
Late-onset sepsis	228/624 (36.5)	226/634 (35.6)	1.03 (0.89–1.18)
Late-onset sepsis or death	300/654 (45.9)	291/662 (44.0)	1.05 (0.94–1.18)
Patent ductus arteriosus	307/641 (47.9)	324/648 (50.0)	0.96 (0.86–1.07)
Treatment for patent ductus arteriosus			
Medical	219/634 (34.5)	233/645 (36.1)	0.95 (0.82–1.09)
Surgical	73/641 (11.4)	68/648 (10.5)	1.09 (0.80–1.48)
Any air leaks in first 14 days	51/654 (7.8)	42/662 (6.3)	1.23 (0.83–1.83)

\* Values were adjusted for stratification factors (study center and gestational-age group) as well as for familial clustering. BPD denotes bronchopulmonary dysplasia.

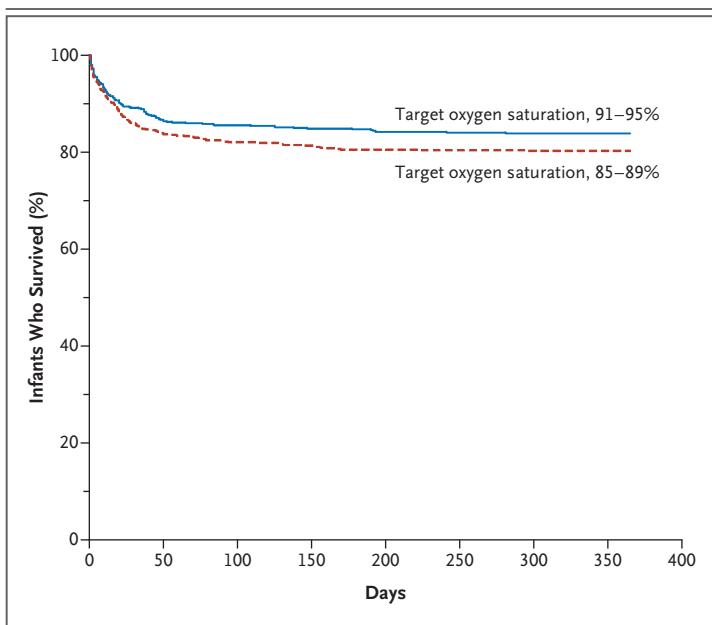
† The physiological definition of BPD includes, as a criterion, the receipt of more than 30% oxygen or the need for positive pressure support at 36 weeks or, in the case of infants requiring less than 30% oxygen, the need for any oxygen at 36 weeks after an attempt at oxygen withdrawal.

‡ There are four grades of intraventricular hemorrhage; higher grades indicate more severe bleeding.

§ There are three stages of necrotizing enterocolitis; higher stages indicate more severe necrotizing enterocolitis.

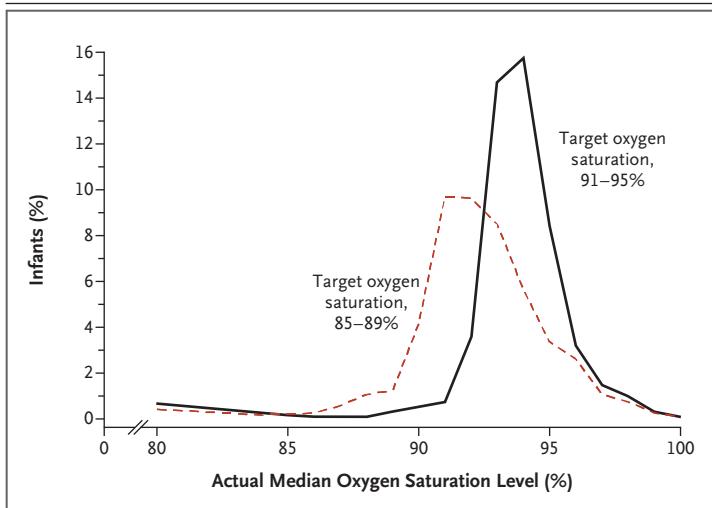
full text of this article at NEJM.org). Similar results were observed for both gestational-age strata. Survival analysis with the use of the unadjusted Kaplan–Meier method (Fig. 2) and a Cox proportional-hazards model produced similar results (hazard ratio, 1.28; 95% CI, 0.98 to 1.68; P=0.07).

The rate of severe retinopathy among survivors who were discharged or transferred to another facility or who reached the age of 1 year was lower in the lower-oxygen-saturation group (8.6% vs. 17.9%; relative risk, 0.52; 95% CI, 0.37 to 0.73; P<0.001; number needed to treat, 11). Although



**Figure 2.** Kaplan–Meier Estimate of Survival to Hospital Discharge, Transfer, or 1 Year of Life.

Cox proportional-hazards analysis indicated that there was an increased hazard of death in the lower-oxygen-saturation group as compared with the higher-oxygen-saturation group (hazard ratio, 1.28; 95% CI, 0.98 to 1.68;  $P=0.07$ ). The analysis assumed that infants who were discharged or transferred from the hospital survived to 1 year of age.



**Figure 3.** Actual Median Oxygen Saturation with Oxygen Supplementation in the Two Treatment Groups.

The medians of the distributions were significantly different on the basis of a rank-sum test ( $P<0.001$ ). The 80% level of oxygen saturation shown includes all values at or below 80%.

use of bevacizumab was among the criteria for this outcome, only three infants received bevacizumab, and these infants also had threshold retin-

opathy or surgical intervention for retinopathy. Three ophthalmologists adjudicated results for the patients who did not meet the criteria for retinopathy, and the results were materially unchanged (Table 2 in the Supplementary Appendix).

#### SECONDARY OUTCOMES

The rate of oxygen use at 36 weeks was reduced in the lower-oxygen-saturation group as compared with the higher-oxygen-saturation group ( $P=0.002$ ), but the rates of bronchopulmonary dysplasia among survivors, as determined by the physiological test of oxygen saturation at 36 weeks, and the composite outcome of bronchopulmonary dysplasia or death by 36 weeks did not differ significantly between the treatment groups. Other prespecified major outcomes also did not differ significantly between the two groups (Table 2).

The median level of oxygen saturation in infants who were receiving oxygen supplementation in the two treatment groups differed substantially but, as expected, there was considerable overlap (Fig. 3). The actual median levels of oxygen saturation were slightly higher than targeted levels in both treatment groups. The duration of oxygen supplementation was shorter in the lower-oxygen-saturation group, but the duration of mechanical ventilation, CPAP, and nasal synchronized intermittent mandatory ventilation did not differ significantly (Table 3 in the Supplementary Appendix). Other measures of resource use also did not differ significantly between the two groups.

#### DISCUSSION

In this multicenter, randomized trial, we found no significant difference in the primary outcome — severe retinopathy or death — between infants randomly assigned to a lower target range of oxygen saturation (85 to 89%) and those assigned to a higher target range (91 to 95%). Assessment of the individual components of the primary outcome showed that the lower target range of oxygen saturation increased the risk of in-hospital death, whereas it reduced the risk of severe retinopathy among survivors. These results were observed even though there was substantial overlap of actual levels of oxygen saturation between the two treatment groups. Previous trials of targeting of levels of oxygen saturation have shown similar difficulties in maintaining levels of oxygen saturation within a narrow target range.<sup>18,22</sup> Longer follow-up will be required to determine

the effects of lower target ranges of oxygen saturation on functional visual and neurodevelopmental outcomes.

Despite the increase in mortality when restrictive oxygen supplementation was used in the 1950s and 1960s and the limited data from observational studies,<sup>3-5,15,16</sup> it is becoming common practice to use lower target ranges of oxygen saturation with the goal of reducing the risk of retinopathy of prematurity.<sup>23</sup> The results of this large randomized trial to test the effect of lower versus higher target ranges of oxygen saturation, in conjunction with the results of previous studies, add to the concern that oxygen restriction may increase the rate of death among preterm infants. The combined risk difference observed in the trials from the 1950s was an absolute increase in in-hospital mortality of 4.9 percentage points in the oxygen-restricted group,<sup>1</sup> which is close to the absolute increase of 3.7 percentage points in the rate of death before discharge in the lower-oxygen-saturation group that was observed in the current trial.

Randomized trials of oxygen restriction in preterm infants at least 2 weeks after birth<sup>18</sup> or after moderately severe retinopathy developed<sup>22</sup> did not show an increased risk of death or a significantly reduced risk of retinopathy in the lower-oxygen-saturation groups. However, the lower target ranges of oxygen saturation in these trials — 91 to 94% in one trial and 89 to 94% in the other — were closer to the target range in our higher-oxygen-saturation group. The increase in mortality in our trial may be related to the lower target ranges of levels of oxygen saturation, the use of oxygen restriction started soon after birth, or both. A meta-analysis of early restriction of oxygen supplementation based on trials from the 1950s to the 1970s showed a reduction in severe retinopathy (relative risk, 0.19; 95% CI, 0.07 to 0.50) with a nonsignificant trend toward increased mortality.<sup>24</sup> These trials were performed by limiting the  $\text{FiO}_2$  concentration usually to less than

0.50, at a time before the continuous monitoring of arterial oxygen saturation was possible. To our knowledge, no other randomized, controlled trials of different target ranges of oxygen saturation in supplementation initiated soon after birth have been performed since the availability of continuous transcutaneous monitoring of oxygen saturation. Like the meta-analysis<sup>24</sup> and most non-randomized studies,<sup>3-5,15,16</sup> our trial confirmed that lower target ranges of oxygenation result in a large reduction in the incidence of severe retinopathy among survivors. However, our data suggest that there is one additional death for approximately every two cases of severe retinopathy that are prevented. Several ongoing trials across the world address the same intervention tested in the current trial.<sup>25</sup>

In summary, a target range of oxygen saturation of 85 to 89%, as compared with a range of 91 to 95%, did not affect the combined outcome of severe retinopathy or death, but it increased mortality while substantially decreasing severe retinopathy among survivors. At the present time, caution should be exercised regarding a strategy of targeting levels of oxygen saturation in the low range for preterm infants, since it may lead to increased mortality.

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Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

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#### APPENDIX

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